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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/690,796

10/17/2000

Craig L. Ogg

39477/RRT/S850

23363 CHRISTIE, PARKER & HALE, LLP 350 WEST COLORADO BOULEVARD SUITE 500 PASADENA, CA 91105



FORMALITIES LETTER

Date Mailed: 12/08/2000

12/8/00

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1898.
 - \$1818 for 101 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2738.

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8888 YPOLITE1 00000080 09690796 A copy of this notice MUST be returned with the reply. Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks. Washington, D.C. 20231 on January 30, 2001.

Applicant

Craig L. Ogg

Application No.:

09/690,796

Filed-

October 17, 2000

Title

SECURE AND RECOVERABLE DATABASE FOR

ON-LINE VALUE-BEARING ITEM SYSTEM

Grp./Div.

2161

Examiner Docket No. To be assigned 39477/RRT/S850

LETTER IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

P.O. Box 7068 Pasadena, CA 91109-7068 January 30, 2001

Commissioner:

In response to the Notice to File Missing Parts of Application dated December 8, 2000, enclosed are:

- Copy of Notice to File Missing Parts of Application. 1.
- Check for \$2,738, including the filing fee (\$2,608) and surcharge (\$130) for a large 2. entity.
- Executed Declaration and Power of Attorney. 3.
- An Assignment of the invention with the Recordation Form Cover Sheet in a 4. separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

Application No. 09/690,796

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. A copy of this letter is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

Raymond R. Tabandeh Reg. No. 43,945 626/795-9900

RRT/dsz Enclosures DSZ PAS300354.1.*-1/30/01 1:00 PM